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UBS SECURITIES LLC  
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8 UNITED STATES DISTRICT COURT  
9 NORTHERN DISTRICT OF CALIFORNIA  
10 SAN FRANCISCO DIVISION  
11

12 RICHARD J. STOEHR,

13 Plaintiff,

14 v.

15 UBS SECURITIES LLC AND DOES 1  
16 THROUGH 50,

17 Defendants.  
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CASE NO. C-08-00185 MEJ

**DEFENDANT UBS SECURITIES LLC'S  
DISCLOSURE STATEMENT  
PURSUANT TO FED. R. CIV. P. 7.1 &  
CIVIL L.R. 3-16**

1 Pursuant to Federal Rule of Civil Procedure 7.1 and Civil Local Rule 3-16, the  
2 undersigned certifies that: (1) UBS Securities LLC is an indirect, wholly-owned subsidiary of  
3 UBS AG; (2) no publicly-held corporation, other than UBS AG, holds 10% or more of UBS  
4 Securities LLC's stock; and (3) the following listed persons, associations of persons, firms,  
5 partnerships, corporations or other entities (i) have a financial interest in the subject matter in  
6 controversy or in a party to the proceeding, or (ii) have a non-financial interest in that subject  
7 matter or in a party that could be substantially affected by the outcome of this proceeding: UBS  
8 AG, and UBS Americas, Inc.

9 At this time, the undersigned is not aware of any other interested individuals or entities,  
10 but reserves the right to file a supplemental statement upon any change in the information that  
11 this statement requires.

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14 Dated: February 15, 2008

Respectfully submitted,

KEKER & VAN NEST, LLP

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17 By: /s/ Eric MacMichael  
18 ERIC MACMICHAEL  
19 Attorneys for Defendant  
20 UBS SECURITIES LLC  
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